

# Cylindrical Waves

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ELEG 648—Waves in Cylindrical Coordinates



# Outline

- 1 Cylindrical Waves
  - Separation of Variables
  - Bessel Functions
  - $TE_z$  and  $TM_z$  Modes



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- 2 Guided Waves
  - Cylindrical Waveguides
  - Radial Waveguides
  - Cavities



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# The Scalar Helmholtz Equation

Just as in Cartesian coordinates, Maxwell's equations in cylindrical coordinates will give rise to a scalar Helmholtz Equation. We study it first.

$$\nabla^2\psi + k^2\psi = 0$$

In cylindrical coordinates, this becomes

$$\frac{1}{\rho} \frac{\partial}{\partial \rho} \left( \rho \frac{\partial \psi}{\partial \rho} \right) + \frac{1}{\rho^2} \frac{\partial^2 \psi}{\partial \phi^2} + \frac{\partial^2 \psi}{\partial z^2} + k^2 \psi = 0$$

We will solve this by separating variables:

$$\psi = R(\rho)\Phi(\phi)Z(z)$$



# Separation of Variables

Substituting and dividing by  $\psi$ , we find

$$\frac{1}{\rho R} \frac{d}{d\rho} \left( \rho \frac{dR}{d\rho} \right) + \frac{1}{\rho^2 \Phi} \frac{d^2 \Phi}{d\phi^2} + \frac{1}{Z} \frac{d^2 Z}{dz^2} + k^2 = 0$$

The third term is independent of  $\phi$  and  $\rho$ , so it must be constant:

$$\frac{1}{Z} \frac{d^2 Z}{dz^2} = -k_z^2$$

This leaves

$$\frac{1}{\rho R} \frac{d}{d\rho} \left( \rho \frac{dR}{d\rho} \right) + \frac{1}{\rho^2 \Phi} \frac{d^2 \Phi}{d\phi^2} + k^2 - k_z^2 = 0$$



# Separation of Variables

Now define the

Radial Wavenumber

$$k_{\rho}^2 = k^2 - k_z^2$$

and multiply the resulting equation by  $\rho^2$  to find

$$\frac{\rho}{R} \frac{d}{d\rho} \left( \rho \frac{dR}{d\rho} \right) + \frac{1}{\Phi} \frac{d^2\Phi}{d\phi^2} + k_{\rho}^2 \rho^2 = 0$$

The second term is independent of  $\rho$  and  $z$ , so we let

$$\frac{1}{\Phi} \frac{d^2\Phi}{d\phi^2} = -n^2$$



# Separation of Variables

This process leaves an ordinary differential equation in  $\rho$  alone.  
We thus have:

## The Cylindrical Helmholtz Equation, Separated

$$\rho \frac{d}{d\rho} \left( \rho \frac{dR}{d\rho} \right) + \left[ (k_\rho \rho)^2 - n^2 \right] R = 0$$

$$\frac{d^2 \Phi}{d\phi^2} + n^2 \Phi = 0$$

$$\frac{d^2 Z}{dz^2} + k_z^2 Z = 0$$

$$k_\rho^2 + k_z^2 = k^2$$

The first of these equations is called **Bessel's Equation**; the others are familiar.



# The Harmonic Equations

We have already seen equations like those in the  $z$  and  $\phi$  directions; the solutions are

- trigonometric, or
- exponential.

The only novelty is that  $\phi$  is periodic or finite; it therefore is always expanded in a series and not an integral.

If there is no limit in the  $\phi$  direction we find

## The Periodic Boundary Condition

$$\Phi(\phi) = \Phi(\phi + 2\pi)$$

This implies that  $n \in \mathbb{Z}$  if the entire range is included.



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# Bessel's Equation For Statics

- The remaining equation to be solved is the radial equation, i.e. **Bessel's Equation**.
- Note that the problem simplifies considerably if  $k_\rho = 0$  (which would be the case if  $\rho = 0$ ).

In this case, we have

## Bessel's Equation for Statics

$$\rho \frac{d}{d\rho} \left( \rho \frac{dR}{d\rho} \right) - n^2 R = 0$$

To solve it, let

$$\rho = e^x$$

so

$$\frac{d\rho}{dx} = e^x = \rho.$$



# Bessel's Equation For Statics

This implies that

$$\frac{d}{dx} = \frac{d\rho}{dx} \frac{d}{d\rho} = \rho \frac{d}{d\rho}$$

Our equation therefore becomes

$$\frac{d^2 R}{dx^2} - n^2 R = 0$$

The solutions to this are

$$R(x) = \begin{cases} A + Bx & n = 0 \\ Ae^{nx} + Be^{-nx} & n \neq 0 \end{cases}$$

and thus the solutions really are

## Static Solutions of Bessel's Equation

$$R(\rho) = \begin{cases} A + B \ln \rho & n = 0 \\ A\rho^n + B\rho^{-n} & n \neq 0 \end{cases}$$



# Bessel Functions

We are generally more interested in the dynamic case in which we must solve the full Bessel Equation:

$$\xi \frac{d}{d\xi} \left( \xi \frac{dR}{d\xi} \right) + [\xi^2 - n^2] R = 0$$

(We normalize  $k_\rho = 1$ , and rewrite the equation in terms of  $\rho$  instead of  $\xi$ ) To solve this equation, we suppose

$$R(\xi) = \xi^\alpha \sum_{m=0}^{\infty} c_m \xi^m$$

Now

$$\frac{dR}{d\xi} = \sum_{m=0}^{\infty} (\alpha + m) c_m \xi^{\alpha+m-1}$$



# Bessel Functions

Thus

$$\xi \frac{dR}{d\xi} = \sum_{m=0}^{\infty} (\alpha + m) c_m \xi^{\alpha+m}$$

and

$$\frac{d}{d\xi} \left( \xi \frac{dR}{d\xi} \right) = \sum_{m=0}^{\infty} (\alpha + m)^2 c_m \xi^{\alpha+m-1}$$

so finally

$$\xi \frac{d}{d\xi} \left( \xi \frac{dR}{d\xi} \right) = \sum_{m=0}^{\infty} (\alpha + m)^2 c_m \xi^{\alpha+m}$$

Now, we can plug in...

$$\sum_{m=0}^{\infty} (\alpha + m)^2 c_m \xi^{\alpha+m} + \left[ \xi^2 - n^2 \right] \sum_{m=0}^{\infty} c_m \xi^{\alpha+m} = 0$$



# Bessel Functions

We now have

$$\sum_{m=0}^{\infty} [(\alpha + m)^2 - n^2] c_m \xi^{\alpha+m} + \sum_{m=0}^{\infty} c_m \xi^{\alpha+m+2} = 0$$

or

$$\sum_{m=0}^{\infty} [(\alpha + m)^2 - n^2] c_m \xi^{\alpha+m} + \sum_{m=2}^{\infty} c_{m-2} \xi^{\alpha+m} = 0$$

We can proceed by forcing the coefficients of each term to vanish. We fix  $c_0 \neq 0$  because of the homogeneity of the equation.



# Bessel Functions

For  $\xi^\alpha$ :

$$(\alpha^2 - n^2) = 0$$

since  $c_0 \neq 0$  by assumption,

$$\alpha = \pm n.$$

For  $\xi^{\alpha+1}$ :

$$\left[ (\alpha + 1)^2 - n^2 \right] c_1 = 0$$

Thus

$$c_1 = 0$$



# Bessel Functions

Finally, for all other  $\xi^{\alpha+m}$ :

$$\left[ (\alpha + m)^2 - n^2 \right] c_m + c_{m-2} = 0$$

Assuming  $\alpha = n$ ,

$$c_m = \frac{-1}{m(m+2n)} c_{m-2}$$

Thus, immediately, for  $p \in \mathbb{Z}$

$$c_{2p+1} = 0$$



# Bessel Functions

Given that the odd coefficients vanish, we let  $m = 2p$  and let

$$a_p = c_{2p}$$

So...

$$a_p = c_{2p} = \frac{-1}{4p(p+n)} c_{2p-2} = a_{p-1}$$

and

$$a_1 = \frac{-1}{4(n+1)} a_0$$

$$a_2 = \frac{-1}{4(n+2)(2)} \frac{-1}{4(n+1)} a_0$$

$$a_3 = \frac{-1}{4(n+3)(3)} \frac{-1}{4(n+2)(2)} \frac{-1}{4(n+1)} a_0$$



# Bessel Functions

Thus, in general,

$$a_p = \frac{(-1)^p n!}{4^p p! (n+p)!} a_0$$

Thus, if we choose  $2^{-n} n! a_0 = 1$ , and recall

$$R(\xi) = \xi^\alpha \sum_{m=0}^{\infty} c_m \xi^m = \sum_{p=0}^{\infty} a_p \xi^{2p+n}$$

we can (finally!) define the

## Bessel Function of Order $n$

$$J_n(\xi) = \sum_{p=0}^{\infty} \frac{(-1)^p}{p! (n+p)!} \left(\frac{\xi}{2}\right)^{2p+n}$$



# Observations

- This function is entire; it exists and is differentiable for all  $\xi$ .
- This is only one solution of the equation:
  - We will get to the other shortly.
  - The other solution is not regular at the origin since the coefficient of the second order derivative vanishes there.
- Note that the solution looks like the corresponding static ( $\rho^n$ ) solution at the origin.
- Note also that fractional orders are possible, but do not arise as commonly in applications (**Why not?**)



# The Other Solution

Our original equation (normalized) was

$$\xi \frac{d}{d\xi} \left( \xi \frac{du}{d\xi} \right) + [\xi^2 - n^2] u = 0$$

The other solution,  $v$  must solve

$$\xi \frac{d}{d\xi} \left( \xi \frac{dv}{d\xi} \right) + [\xi^2 - n^2] v = 0$$

Multiply the first equation by  $v$  and the second by  $u$ , subtract, and divide by  $\xi$ :

$$v \frac{d}{d\xi} \left( \xi \frac{du}{d\xi} \right) - u \frac{d}{d\xi} \left( \xi \frac{dv}{d\xi} \right) = 0$$



# The Other Solution

Expanding this out

$$\xi(u''v - uv'') + u'v - uv' = 0,$$

or

$$\frac{d}{d\xi} [\xi(u'v - uv')] = 0$$

It therefore stands to reason that

$$\xi(u'v - uv') = C$$

or

$$\frac{u'v - uv'}{v^2} = \frac{C_2}{\xi v^2}$$



# The Other Solution

This of course implies

$$\frac{d}{d\xi} \left( \frac{u}{v} \right) = \frac{C_2}{\xi v^2}$$

This can be integrated to give

$$\frac{u}{v} = C_1 + C_2 \int \frac{d\xi}{\xi v^2}$$

or

$$u(\xi) = C_1 v(\xi) + C_2 v(\xi) \int \frac{d\xi}{\xi v(\xi)^2}$$



# The Other Solution

Setting  $C_1 = 0$ ,  $v(\xi) = J_n(\xi)$ , expanding the series and integrating gives rise to the

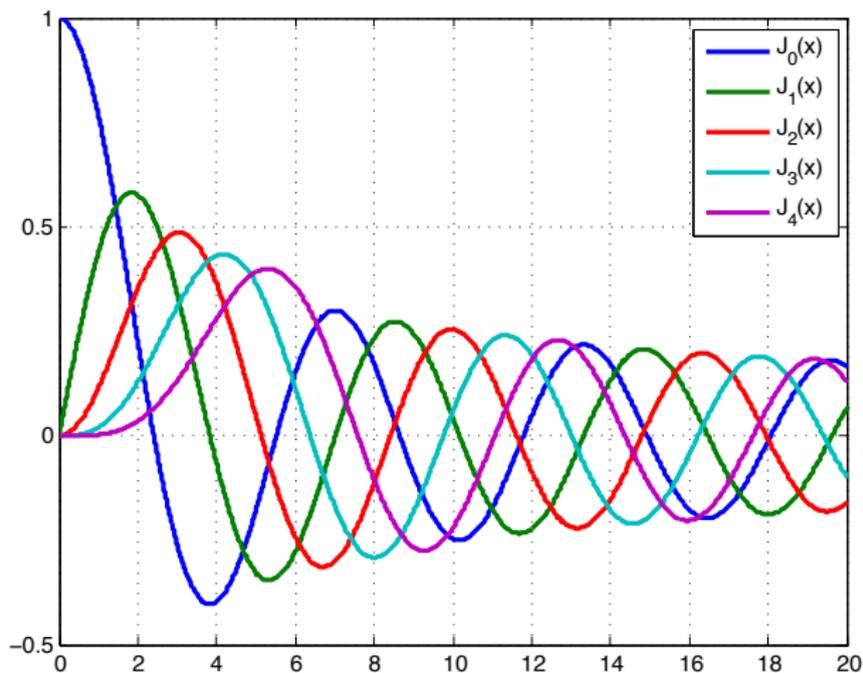
## Neumann Function

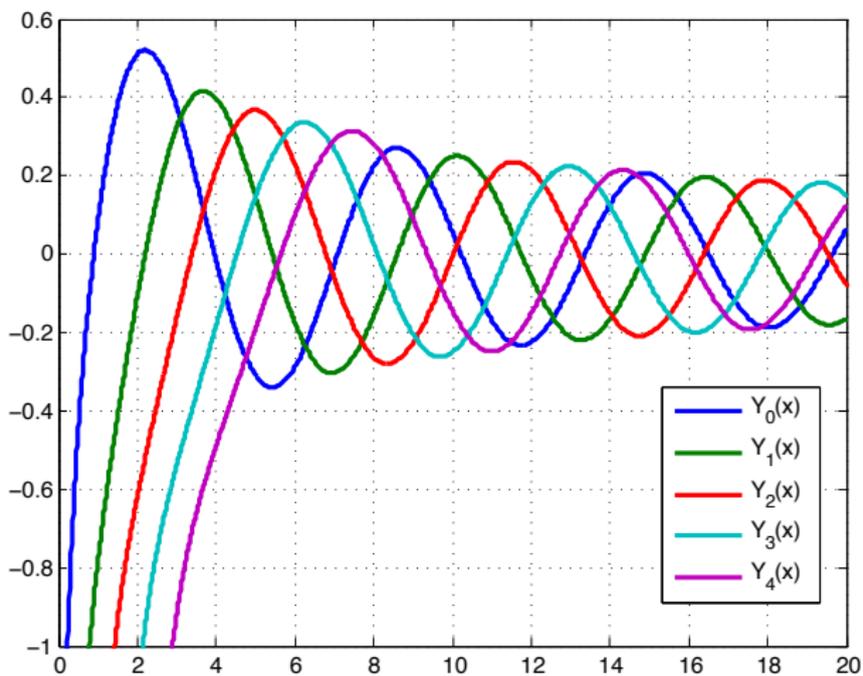
$$Y_n(\xi) = J_n(\xi) \int \frac{d\xi}{\xi J_n^2(\xi)}$$

This function

- This function is also called the “Bessel function of the second kind.”
- It is sometimes denoted by  $N_n(\xi)$ .
- This function is not defined for  $\xi = 0$ .



Graphs of  $J_n(x)$ 

Graphs of  $Y_n(x)$ 

# Hankel Functions

- The  $J_n$  and  $Y_n$  are both real functions for real arguments.
- They must therefore represent standing waves (**Why?**).



# Hankel Functions

- The  $J_n$  and  $Y_n$  are both real functions for real arguments.
- They must therefore represent standing waves (**Why?**).
- **Hankel functions** represent traveling waves.

Traveling waves are represented by

## Hankel Functions

$$H_n^{(1)}(x) = J_n(x) + jY_n(x)$$

$$H_n^{(2)}(x) = J_n(x) - jY_n(x)$$

These are called Hankel functions of the first and second kind, respectively.



# Small Argument Behavior

- Suppose  $\text{Re}(\nu) > 0$ .
- Let  $\ln \gamma = 0.5772 \Rightarrow \gamma = 1.781$  (i.e.  $\ln \gamma$  is “Euler’s constant”).

Consider the behavior of the Bessel and Neumann functions as  $x \rightarrow 0$ :

$$J_0(x) \rightarrow 1$$

$$Y_0(x) \rightarrow \frac{2}{\pi} \ln \frac{\gamma x}{2}$$

$$J_\nu(x) \rightarrow \frac{1}{\nu!} \left(\frac{x}{2}\right)^\nu$$

$$Y_\nu(x) \rightarrow -\frac{(\nu-1)!}{\pi} \left(\frac{2}{x}\right)^\nu$$

The only Bessel functions finite at the origin are the  $J_n(x)$ .



# Large Argument Behavior

As  $x \rightarrow \infty$ :

$$J_\nu(x) \rightarrow \sqrt{\frac{2}{\pi x}} \cos\left(x - \frac{\pi}{4} - \frac{\nu\pi}{2}\right)$$

$$Y_\nu(x) \rightarrow \sqrt{\frac{2}{\pi x}} \sin\left(x - \frac{\pi}{4} - \frac{\nu\pi}{2}\right)$$

Given the definition of Hankel functions, we must also have

$$H_\nu^{(1)}(x) \rightarrow \sqrt{\frac{2}{j\pi x}} j^{-\nu} e^{jx}$$

$$H_\nu^{(2)}(x) \rightarrow \sqrt{\frac{2j}{\pi x}} j^\nu e^{-jx}$$

- The  $H_\nu^{(2)}$  represent outward traveling waves.
- Why are these all proportional to  $x^{-\frac{1}{2}}$ ?



# Imaginary Arguments

- In applications, we get Bessel functions of dimensionless quantities:  $B_n(k_\rho \rho)$ .
- If  $k_\rho$  becomes imaginary, we have evanescence in the  $\rho$  direction.

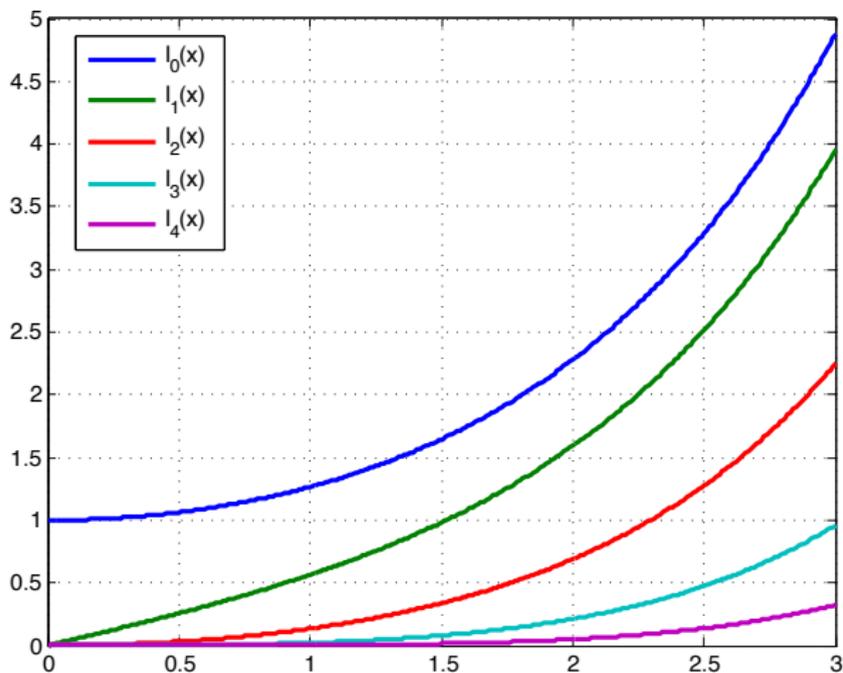
For these applications, we define the

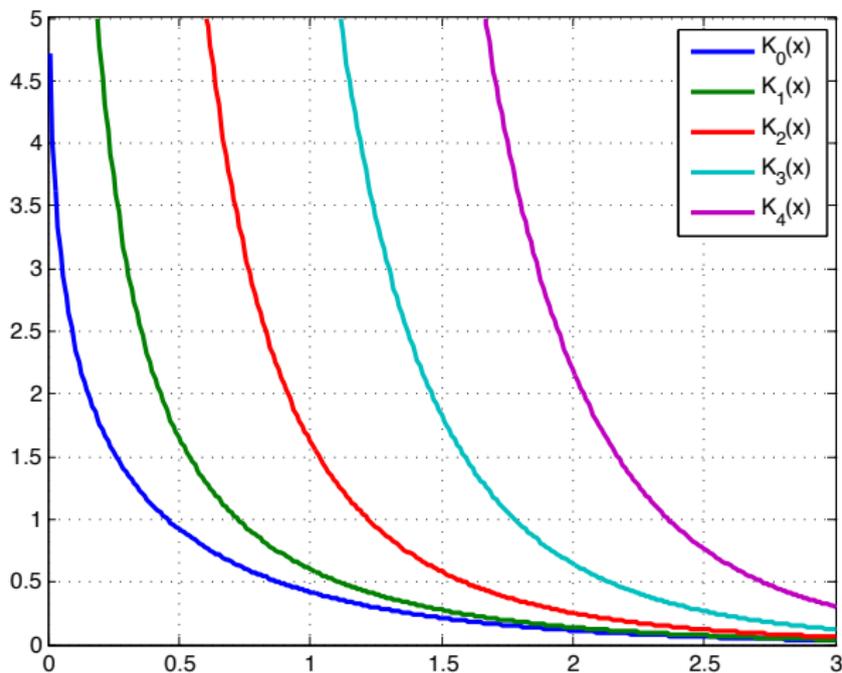
## Modified Bessel Functions

$$I_n(x) = j^n J_n(-jx)$$
$$K_n(x) = \frac{\pi}{2} (-j)^{n+1} H_n^{(2)}(-jx)$$

These are real functions of real arguments.



Graphs of  $I_n(x)$ 

Graphs of  $K_n(x)$ 

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# Transverse Magnetic Fields

Let

$$\frac{\mathbf{A}}{\mu} = \mathbf{u}_z \psi$$

for some solution of the Helmholtz equation  $\psi$ . Then

$$\begin{aligned} H_\rho &= \frac{1}{\rho} \frac{\partial \psi}{\partial \phi} & E_\rho &= \frac{1}{\hat{y}} \frac{\partial^2 \psi}{\partial \rho \partial z} \\ H_\phi &= -\frac{\partial \psi}{\partial \rho} & E_\phi &= \frac{1}{\hat{y} \rho} \frac{\partial^2 \psi}{\partial \phi \partial z} \\ H_z &= 0 & E_z &= \frac{1}{\hat{y}} \left( \frac{\partial^2}{\partial z^2} + k^2 \right) \psi \end{aligned}$$

This is a general formula for a TM<sub>z</sub> field;  $H_z = 0$ .



## Transverse Electric Fields

Let

$$\frac{\mathbf{F}}{\epsilon} = \mathbf{u}_z \psi$$

for some solution of the Helmholtz equation  $\psi$ . Then

$$E_\rho = -\frac{1}{\rho} \frac{\partial \psi}{\partial \phi}$$

$$E_\phi = \frac{\partial \psi}{\partial \rho}$$

$$E_z = 0$$

$$H_\rho = \frac{1}{z} \frac{\partial^2 \psi}{\partial \rho \partial z}$$

$$H_\phi = \frac{1}{z \rho} \frac{\partial^2 \psi}{\partial \phi \partial z}$$

$$H_z = \frac{1}{z} \left( \frac{\partial^2}{\partial z^2} + k^2 \right) \psi$$

This is a general formula for a TE<sub>z</sub> field;  $E_z = 0$ .

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# The Circular Waveguide

- A circular waveguide is a tube of (say) radius  $a$ .
- The field must be finite at  $\rho = 0$ , so only  $J_n$  are admissible.

Without further ado, the wave function for  $TM_z$  waves is

$$\psi = J_n(k_\rho \rho) \left\{ \begin{array}{l} \sin n\phi \\ \cos n\phi \end{array} \right\} e^{-jk_z z}$$

- The azimuthal dependence keeps the transverse fields in phase; either sine or cosine can be chosen.
- For  $n = 0$  we obviously choose the cosine.
- The restriction to  $n \in \mathbb{Z}$  is required because of the periodic boundary condition. **Other boundaries would lead to other results.**



# Boundary Conditions

Since

$$E_z = \frac{1}{\hat{y}}(k^2 - k_z^2)\psi$$

we need  $\psi$  to vanish on the walls. This implies

$$J_n(k_\rho a) = 0$$

giving the

Values for  $k_\rho$

$$k_\rho = \frac{\chi_{np}}{a}$$

where  $\chi_{np}$  is the  $p^{\text{th}}$  solution of

$$J_n(\chi_{np}) = 0.$$



# Roots of Bessel Functions, $\chi_{np}$

The roots of the Bessel functions are well tabulated.

	$n = 0$	$n = 1$	$n = 2$	$n = 3$	$n = 4$	$n = 5$
$p = 1$	2.405	3.832	5.136	6.380	7.588	8.771
$p = 2$	5.520	7.016	8.417	9.761	11.065	12.339
$p = 3$	8.654	10.173	11.620	13.015	14.732	
$p = 4$	11.792	13.324	14.796			

For  $TM_z$  modes, we have:

$$\psi_{np}^{TM} = J_n \left( \frac{\chi_{np} \rho}{a} \right) \left\{ \begin{array}{l} \sin n\phi \\ \cos n\phi \end{array} \right\} e^{-jk_z z}$$

with

$$\left( \frac{\chi_{np}}{a} \right)^2 + k_z^2 = k^2$$



# TE<sub>z</sub> Modes

The wave function for TE<sub>z</sub> waves is

$$\psi = J_n(k_\rho \rho) \left\{ \begin{array}{l} \sin n\phi \\ \cos n\phi \end{array} \right\} e^{-jk_z z}$$

just as for TM<sub>z</sub> and for the same reasons. (Here, of course,  $\mathbf{F} = \epsilon\psi\mathbf{u}_z$ .)

Now

$$E_\phi = \frac{\partial\psi}{\partial\rho},$$

so we need

$$J'_n(k_\rho a) = 0.$$



# TE<sub>z</sub> Modes

We therefore find the radial wavenumber must be of the form

Values for  $k_\rho$

$$k_\rho = \frac{\chi'_{np}}{a}$$

where  $\chi'_{np}$  is the  $p^{\text{th}}$  solution of

$$J'_n(\chi'_{np}) = 0.$$



Roots of Bessel Function Derivatives,  $\chi'_{np}$ 

The roots of the Bessel function derivatives are also well tabulated.

	$n = 0$	$n = 1$	$n = 2$	$n = 3$	$n = 4$	$n = 5$
$p = 1$	3.832	1.841	3.054	4.201	5.317	6.416
$p = 2$	7.016	5.331	6.706	87.015	9.282	10.520
$p = 3$	10.173	8.536	9.969	11.346	12.682	13.987
$p = 4$	13.324	11.706	13.170			

For  $TE_z$  modes, we have:

$$\psi_{np}^{TE} = J_n \left( \frac{\chi'_{np} \rho}{a} \right) \left\{ \begin{array}{l} \sin n\phi \\ \cos n\phi \end{array} \right\} e^{-jk_z z}$$

with

$$\left( \frac{\chi'_{np}}{a} \right)^2 + k_z^2 = k^2$$



# Cutoff

Cutoff occurs when  $k_z = 0$  We can thus compute the

## Cutoff Wavenumber

$$(k_c)_{np}^{\text{TM}} = \frac{\chi_{np}}{a} \quad (k_c)_{np}^{\text{TE}} = \frac{\chi'_{np}}{a}$$

and the

## Cutoff Frequencies

$$(f_c)_{np}^{\text{TM}} = \frac{\chi_{np}}{2\pi a \sqrt{\mu\epsilon}} \quad (f_c)_{np}^{\text{TE}} = \frac{\chi'_{np}}{2\pi a \sqrt{\mu\epsilon}}$$

- Cutoff frequency is thus proportional to the roots  $\chi_{np}$  and  $\chi'_{np}$ .
- The fundamental mode is  $\text{TE}_{11}$ .



# Modal Impedance

The calculation of impedance is just like that in rectangular waveguides. The result is the same too:

$$Z_0^{\text{TE}} = \frac{E_\rho}{H_\phi} = -\frac{E_\phi}{H_\rho} = \frac{\omega\mu}{k_z}$$

and

$$Z_0^{\text{TM}} = \frac{E_\rho}{H_\phi} = -\frac{E_\phi}{H_\rho} = \frac{k_z}{\omega\epsilon}$$

Other cylindrical waveguides can be analyzed similarly; homework will contain examples.



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# Radial Waveguides

- In addition to cylindrical waveguides, we can consider guides that carry waves radially.
- The simplest such guide is a parallel plate waveguide, analyzed to consider radial propagation.
- We call the distance between the plates (in the  $z$ -direction)  $a$ .



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## $TM_z$ Wavefunctions for Parallel Plate Guide

$$\psi_{mn}^{TM} = \cos\left(\frac{m\pi}{a}z\right) \cos n\phi H_n^{(2)}(k_\rho\rho)$$



# Radial Waveguides

- The solution on the previous slide chose  $\cos n\phi$  for simplicity;  $\sin n\phi$  is legal but gives no new information.
- The solution on the previous slide is for outgoing waves, incoming waves are proportional to  $H_n^{(1)}(k_\rho \rho)$ .
- For  $TE_z$  waves, we need the same components to vanish. Here, they are directly proportional to the wavefunction so we find the

## $TE_z$ Wavefunctions for Parallel Plate Guide

$$\psi_{mn}^{TE} = \sin\left(\frac{m\pi}{a}z\right) \cos n\phi H_n^{(2)}(k_\rho \rho)$$

In either case we find that

$$k_\rho = \sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2}$$



# Phase Constant and Velocity: An Aside

In general, any wave can be written in polar form

$$\psi(x, y, z) = A(x, y, z)e^{j\Phi(x, y, z)}$$

where  $A, \Phi \in \mathbb{R}$ . In the time domain, this wave becomes

$$\text{Re} \left\{ A(x, y, z)e^{j\Phi(x, y, z)} e^{j\omega t} \right\}$$

A surface of constant phase thus has the form

$$\omega t + \Phi(x, y, z) = \text{constant}$$



## Phase Constant and Velocity: An Aside

This equation can be differentiated with respect to  $t$  to give

$$\omega + \nabla\Phi \cdot \mathbf{v}_p = 0$$

- This equation cannot be solved *per se*; it is one equation in three unknowns!
- If we choose a direction, we can solve it along that direction (i.e., we can find the speed we need to move to keep the phase fixed.)

Thus, we have the

### Phase Velocity in the $x$ -Direction

$$v_{px} = -\frac{\omega}{\frac{\partial\Phi}{\partial x}}$$



# Phase Constant and Velocity: An Aside

We can also define the

Phase Velocity in the Direction of Travel

$$v_p = -\frac{\omega}{|\nabla\Phi|}$$

In any case, we have the

Wavevector

$$\beta = -\nabla\Phi$$

We would like to see how these ideas apply to radial travel.



# Phase Velocity of Radial Waves

From the above discussion, we can define

$$\beta_\rho = -\frac{d}{d\rho} \tan^{-1} \frac{Y_n(k_\rho \rho)}{J_n(k_\rho \rho)}$$

Let us compute this:

$$\begin{aligned} -\frac{d}{d\rho} \tan^{-1} \frac{Y_n(k_\rho \rho)}{J_n(k_\rho \rho)} &= -\frac{1}{1 + \left(\frac{Y_n(k_\rho \rho)}{J_n(k_\rho \rho)}\right)^2} \left[ \frac{d}{d\rho} \frac{Y_n(k_\rho \rho)}{J_n(k_\rho \rho)} \right] \\ &= -\frac{k_\rho}{1 + \left(\frac{Y_n(k_\rho \rho)}{J_n(k_\rho \rho)}\right)^2} \frac{Y_n'(k_\rho \rho) J_n(k_\rho \rho) - Y_n(k_\rho \rho) J_n'(k_\rho \rho)}{J_n^2(k_\rho \rho)} \end{aligned}$$



# Phase Velocity of Radial Waves

The **Wronskian**

$$J_n(x)Y_n'(x) - J_n'(x)Y_n(x) = \frac{2}{\pi x}.$$

Thus

$$\begin{aligned}\beta_\rho &= \frac{k_\rho}{1 + \left(\frac{Y_n(k_\rho\rho)}{J_n(k_\rho\rho)}\right)^2} \frac{1}{J_n^2(k_\rho\rho)} \frac{2}{\pi k_\rho\rho} \\ &= \frac{2}{\pi\rho} \frac{1}{J_n^2(k_\rho\rho) + Y_n^2(k_\rho\rho)}\end{aligned}$$



# Phase Velocity of Radial Waves

Now, as  $\rho \rightarrow \infty$ , we can substitute the large argument approximations:

$$\begin{aligned}\beta_\rho &= \frac{2}{\pi\rho} \frac{1}{J_n^2(k_\rho\rho) + Y_n^2(k_\rho\rho)} \\ &\rightarrow \frac{2}{\pi\rho} \left\{ \left[ \sqrt{\frac{2}{\pi k_\rho}} \cos\left(k_\rho\rho - \frac{\pi}{4} - \frac{n\pi}{2}\right) \right]^2 \right. \\ &\quad \left. + \left[ \sqrt{\frac{2}{\pi k_\rho}} \sin\left(k_\rho\rho - \frac{\pi}{4} - \frac{n\pi}{2}\right) \right]^2 \right\}^{-1} = k_\rho\end{aligned}$$

Why would we expect this?



# Modal Impedance and Cutoff

Impedance can be computed in the usual manner:

## Outward-Travelling Modal Impedance

$$Z_{+\rho}^{\text{TM}} = -\frac{E_z}{H_\phi} = \frac{k_\rho}{j\omega\epsilon} \frac{H_n^{(2)}(k_\rho\rho)}{H_n^{(2)'}(k_\rho\rho)}$$
$$Z_{+\rho}^{\text{TE}} = \frac{E_\phi}{H_z} = \frac{j\omega\mu}{k_\rho} \frac{H_n^{(2)}(k_\rho\rho)}{H_n^{(2)'}(k_\rho\rho)}$$

Note that this is not purely real. Now, it should be remembered that

$$k_\rho = \sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2}$$



# Modal Impedance and Cutoff

We thus have a purely imaginary radial wavenumber ( $-j\alpha$ ) if  $ka < m\pi$ . Recall

$$H_n^{(2)}(-j\alpha\rho) = \frac{2}{\pi} j^{n+1} K_n(\alpha\rho)$$

Plugging this in to our expression for TM impedance, we find

$$Z_{+\rho}^{\text{TM}} = \frac{j\alpha K_n(\alpha\rho)}{\omega\epsilon K_n'(\alpha\rho)}$$

Since the  $K_n$  are real functions of real arguments, this expression is purely imaginary and no energy propagates.



# TM<sub>0n</sub> Modes

If

$$a < \frac{\lambda}{2}$$

only  $m = 0$  modes propagate. (These are all TM<sub>z</sub>.)  
In this case we have

## TM<sub>0n</sub> Wavefunctions

$$\psi_{0n}^{\text{TM}} = \cos n\phi H_n^{(2)}(k\rho)$$

- How can large  $n$  modes propagate?
- Why is this cause for concern?



# Impedance of $TM_{0n}$ Modes

To understand what is happening, we look at the expression

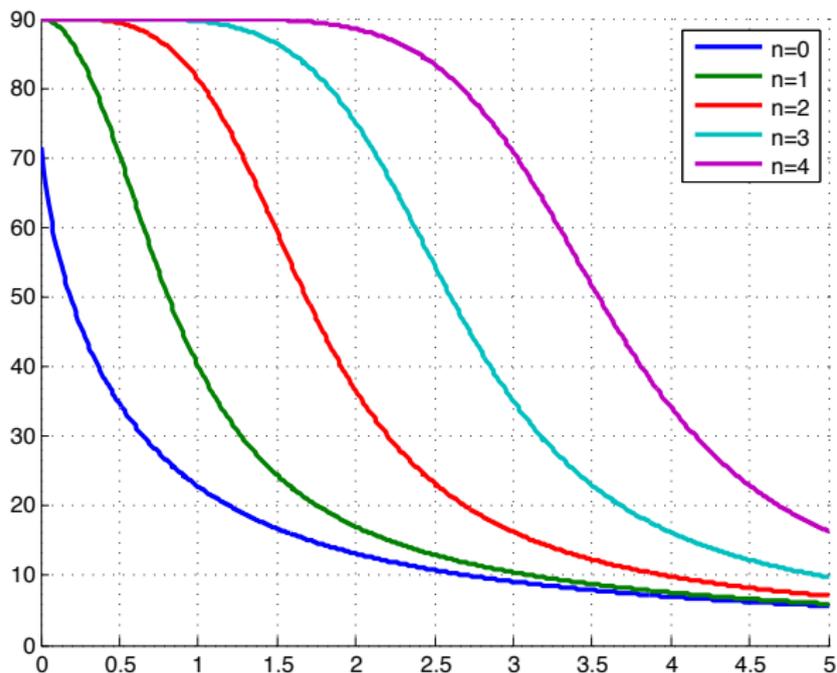
$$Z_{+\rho}^{TM} = -j\eta \frac{H_n^{(2)}(k\rho)}{H_n^{(2)'}(k\rho)}$$

Using a well-known identity (obtained by differentiating and manipulating Bessel's equation) we can write

$$Z_{+\rho}^{TM} = -j\eta \frac{H_n^{(2)}(k\rho)}{\frac{n}{x} H_n^{(2)}(k\rho) - H_{n+1}^{(2)}(k\rho)}$$

By examining the (absolute) phase angle of the impedance, we can see how efficiently each mode carries energy.



Absolute Impedance Phase vs.  $k\rho$ 

# Gradual Cutoff

- The last slide shows that as the frequency increases, each mode carries power more efficiently.
- The transition from storing energy to carrying it occurs at

$$k\rho = n$$

- This is exactly where the radial waveguide is  $n$  wavelengths in circumference.
- This phenomenon is called **gradual cutoff** and it is related to the poor radiation ability of small antennas.



# The $TM_{00}$ mode

- The dominant mode in the radial parallel plate guide is the  $TM_{00}$  mode.
- The outwardly traveling fields are given by

$$E_z^+ = \frac{k^2}{j\omega\epsilon} H_0^{(2)}(k\rho)$$
$$H_\phi^+ = kH_1^{(2)}(k\rho)$$

- This is a TEM mode and can be analyzed with a transmission line analysis if desired.
- The inductance/capacitance per unit length change with distance.



# Feynman's Analysis

- The Nobel Laureate Richard P. Feynman used a particularly simple approach to the analysis of cylindrical resonators.
- The approach also demonstrates the evolution from statics to dynamics.
- It also introduces Bessel Functions without partial (or even ordinary) differential equations!

So consider a circular capacitor with a static electric field  $E_0$ . If the field begins to oscillate with frequency  $\omega$ , a magnetic field is created.



# Feynman's Analysis

To find the magnetic field, we can apply the Ampere-Maxwell law to a circle  $C$  of radius  $\rho$  centered on the axis:

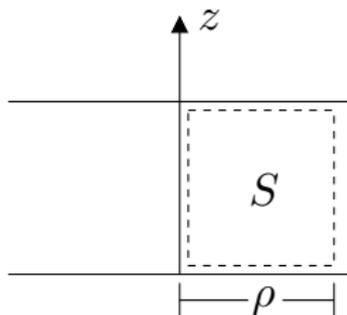
$$\begin{aligned}\oint_{\partial C} \mathbf{H} \cdot d\mathbf{l} &= j\omega\epsilon \iint_C \mathbf{E} \cdot d\mathbf{S} \\ 2\pi\rho H_\phi &= j\omega\epsilon\pi\rho^2 E_z \\ H_\phi &= \frac{j\omega\epsilon\rho}{2} E_0 \\ &= \frac{jk\rho}{2\eta} E_0\end{aligned}$$



# Feynman's Analysis

Now, this magnetic field is also oscillating, so the original electric field is also wrong.

- We will call the original field  $E_1 = E_0$ ; the field at the center of the plate.
- The magnetic field we have found is  $H_1$ .
- It will give rise to an  $E_2$ .
- To find  $E_2$  we use the surface  $S$  shown below.



# Feynman's Analysis

To find the correction to  $\mathbf{E}$ , we use Faraday's Law.

$$\begin{aligned}\oint_{\partial S} \mathbf{E} \cdot d\mathbf{l} &= -j\omega\mu \iint_S \mathbf{H} \cdot d\mathbf{S} \\ -\int_0^a E_2 dz &= -j\omega\mu \int_0^a dz \int_0^\rho d\rho' H_1 \\ E_2 &= j\omega\mu \int_0^\rho d\rho' \frac{jk\rho'}{2\eta} E_0 \\ &= -\frac{k^2\rho^2}{2^2} E_0\end{aligned}$$

Thus, at the moment,

$$E_z = E_1 + E_2 = E_0 \left( 1 - \frac{k^2\rho^2}{2^2} \right)$$



# Feynman's Analysis

We can now correct  $\mathbf{H}$  again:

$$\begin{aligned}\oint_{\partial C} \mathbf{H} \cdot d\mathbf{l} &= j\omega\epsilon \iiint_C \mathbf{E} \cdot d\mathbf{S} \\ 2\pi\rho H_2 &= j\omega\epsilon \int_0^{2\pi} d\phi \int_0^\rho \rho d\rho E_2 \\ H_2 &= -\frac{j\omega\epsilon k^2 E_0}{2^2 \rho} \int_0^\rho d\rho \rho^3 \\ &= -\frac{jk^3 \rho^3}{2^2 \cdot 4} \frac{E_0}{\eta}\end{aligned}$$



# Feynman's Analysis

And, we can now correct  $\mathbf{E}$  again:

$$\begin{aligned}\oint_{\partial S} \mathbf{E} \cdot d\mathbf{l} &= -j\omega\mu \iint_S \mathbf{H} \cdot d\mathbf{S} \\ -\int_0^a E_3 dz &= -j\omega\mu \int_0^a dz \int_0^\rho d\rho' H_2 \\ E_3 &= \omega\mu \int_0^\rho d\rho' \frac{k^3 \rho'^3}{2^2 \cdot 4} \frac{E_0}{\eta} \\ &= \frac{k^4 \rho^4}{2^2 \cdot 4^2} E_0\end{aligned}$$

Thus, at the moment,

$$E_z = E_1 + E_2 + E_3 = E_0 \left( 1 - \frac{k^2 \rho^2}{2^2} + \frac{k^4 \rho^4}{2^2 \cdot 4^2} \right)$$



# Feynman's Analysis

- The importance of these terms we keep adding is proportional to
  - Frequency
  - Plate size

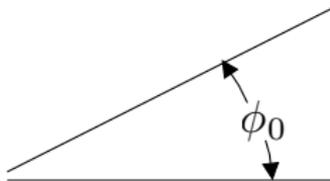
In this way, we see how statics naturally morphs into dynamics.

- It is easy to see that the E-field found after continuing the process is

$$\begin{aligned} E &= E_0 \left( 1 - \frac{k^2 \rho^2}{2^2} + \frac{k^4 \rho^4}{2^2 \cdot 4^2} - \frac{k^4 \rho^4}{2^2 \cdot 4^2 \cdot 6^2} + \dots \right) \\ &= E_0 \sum_{n=0}^{\infty} \frac{(-1)^n}{(n!)^2} \left( \frac{k\rho}{2} \right)^{2n} = E_0 J_0(k\rho) \end{aligned}$$



# Wedge Waveguide



- A wedge guide is formed by two half-planes inclined at an angle  $\phi_0$ .
- The problem is independent of  $z$ .
- For the  $TM_z$  mode, we must have  $E_z = 0$  on the plates.

Thus

$$\psi \propto \sin\left(\frac{\rho\pi}{\phi_0}\phi\right)$$



# Wedge Waveguide Wavefunctions

Now, the order of the Bessel function equals the coefficient of  $\phi$ . We thus have the

## TM Outwardly Traveling Wavefunctions

$$\psi_{\rho}^{\text{TM}} = \sin\left(\frac{p\pi}{\phi_0}\phi\right) H_{\frac{p\pi}{\phi_0}}^{(2)}(k\rho)$$

By the same token, we have the

## TE Outwardly Traveling Wavefunctions

$$\psi_{\rho}^{\text{TE}} = \cos\left(\frac{p\pi}{\phi_0}\phi\right) H_{\frac{p\pi}{\phi_0}}^{(2)}(k\rho)$$



# Observations

- Most of the discussion of the radial parallel plate guide applies here:
  - Wave impedances are given by the same formulas (with fractional orders),
  - Gradual cutoff occurs when  $\frac{\rho\pi}{\phi_0} = k\rho$ . **Why?**
- The dominant mode is  $TE_0$ ; it is a TEM mode derivable by transmission line theory. Its fields are

## $TE_0$ Mode Fields

$$E_{\phi}^{+} = kH_1^{(2)}(k\rho)$$
$$H_z^{+} = \frac{k^2}{j\omega\mu} H_0^{(2)}(k\rho)$$



# Outline

- 1 Cylindrical Waves
  - Separation of Variables
  - Bessel Functions
  - $TE_z$  and  $TM_z$  Modes
- 2 Guided Waves
  - Cylindrical Waveguides
  - Radial Waveguides
  - Cavities



# The Circular Cavity

- The circular cavity is a circular waveguide shorted at both ends.
- We will assume the height of the cavity is denoted by  $d$ .

For  $TM_z$  modes,

$$E_\rho \propto \frac{\partial^2 \psi}{\partial \rho \partial z}$$

so we must have (assuming  $\cos n\phi$  variation)

## $TM_z$ Modes

$$\psi_{npq}^{TM} = J_n \left( \frac{\chi_{np\rho}}{a} \right) \cos n\phi \cos \left( \frac{q\pi z}{d} \right)$$



# The Circular Cavity

By the same token

## TE<sub>z</sub> Modes

$$\psi_{npq}^{\text{TE}} = J_n \left( \frac{\chi'_{np\rho}}{a} \right) \cos n\phi \sin \left( \frac{q\pi z}{d} \right)$$

We can also immediately write

## Separation Equations

$$\text{TM:} \quad \left( \frac{\chi_{np}}{a} \right)^2 + \left( \frac{q\pi}{d} \right)^2 = k^2$$

$$\text{TE:} \quad \left( \frac{\chi'_{np}}{a} \right)^2 + \left( \frac{q\pi}{d} \right)^2 = k^2$$



# Resonant Frequencies

From these equations it is a simply matter to compute

## Resonant Frequencies

$$(f_r)_{npq}^{\text{TM}} = \frac{1}{2\pi\sqrt{\mu\epsilon}} \sqrt{\left(\frac{\chi_{np}}{a}\right)^2 + \left(\frac{q\pi}{d}\right)^2}$$
$$(f_r)_{npq}^{\text{TE}} = \frac{1}{2\pi\sqrt{\mu\epsilon}} \sqrt{\left(\frac{\chi'_{np}}{a}\right)^2 + \left(\frac{q\pi}{d}\right)^2}$$

If  $d < 2a$  the  $\text{TM}_{010}$  mode is dominant, otherwise the  $\text{TE}_{111}$  mode is.



# Quality Factor

- It is also straightforward to compute the  $Q$  of the circular cavity.
- We will do so only for the (usually dominant)  $TM_{010}$  mode.

Given  $\psi$ , it is easy to show that the modal fields are

## TM<sub>010</sub> Mode Fields

$$E_z = \frac{k^2}{j\omega\epsilon} J_0\left(\frac{\chi_{01}\rho}{a}\right)$$
$$H_\phi = \frac{\chi_{01}}{a} J_1\left(\frac{\chi_{01}\rho}{a}\right)$$



# Quality Factor

Now, the total energy stored is

$$\begin{aligned} W = 2\overline{W}_e &= \frac{\epsilon}{2} \int_0^d \int_0^a \int_0^{2\pi} |E|^2 \rho d\phi d\rho dz \\ &= \frac{k^4}{\omega^2 \epsilon} \pi d \int_0^a \rho J_0^2\left(\frac{\chi_{01}\rho}{a}\right) d\rho = \frac{\pi k^4 d a^2}{2\omega^2 \epsilon} J_1^2(\chi_{01}) \end{aligned}$$

To compute the energy absorbed by the walls, we appeal to the approximate formula

$$\overline{P}_d = \frac{\mathcal{R}}{2} \oint_{\text{walls}} |H|^2 dS$$



# Quality Factor

On the cylindrical side wall of the cavity, the magnetic field is constant, so the value of this integral is

$$\overline{P}_d = \pi a d \mathcal{R} J_1^2(\chi_{01})$$

On the other two walls together we have

$$\begin{aligned} \overline{P}_d &= \mathcal{R} \int_0^a \int_0^{2\pi} \left(\frac{\chi_{01}}{a}\right)^2 J_1^2\left(\frac{\chi_{01}\rho}{a}\right) \rho d\phi d\rho \\ &= 2\pi \mathcal{R} \left(\frac{\chi_{01}}{a}\right)^2 \int_0^a J_1^2\left(\frac{\chi_{01}\rho}{a}\right) \rho d\rho \\ &= \pi a^2 \mathcal{R} \left(\frac{\chi_{01}}{a}\right)^2 J_1^2(\chi_{01}) \end{aligned}$$



# Quality Factor

Plugging into our formula for the quality factor we find

$$Q = \frac{\omega W}{P_d} = \frac{dk^4 a^3}{2\omega\epsilon\mathcal{R}\chi_{01}^2(d+a)}$$

Now,  $ka = \chi_{01}$  and  $\omega\epsilon = \frac{k}{\eta}$ , so we find the final formula for

## The Quality Factor

$$Q = \frac{\eta\chi_{01}d}{2\mathcal{R}(a+d)}$$

