Research Article

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Blow-up of solutions to cubic nonlinear Schrödinger equations with defect: The radial case

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Abstract: In this article we investigate both numerically and theoretically the influence of a defect on the blow-up of radial solutions to a cubic NLS equation in dimension 2.

Keywords: Cubic NLS equation, blow-up, defect

MSC 2010: 35Q55, 35B44

1 Introduction

The issue of the existence of blow-up solutions for nonlinear Schrödinger (NLS) equations in \mathbb{R}^2 has widely been investigated in the literature (see [3, 16] and the references therein). These equations read

$$iu_t = \Delta u + |u|^{2\sigma}u,$$

supplemented with initial data in $H^1(\mathbb{R}^2)$. For $\sigma < 1$ the solutions are global in time. Then the so-called cubic NLS equation $\sigma = 1$ is critical in H^1 . In fact, there exists solutions of the cubic NLS equation that blow up in finite time. This can be established for instance by the so-called Glassey's virial method [9]. Conversely, a famous result of Weinstein [17] asserts that any solution whose mass is less than the mass of the ground state is global in time. For the existence and properties of the ground state see [1, 4, 5, 13]. Actually, consider C_{GN} the best constant in the Gagliardo–Nirenberg inequality

$$\|u\|_{L^4}^4 \leq C_{\rm GN} \|u\|_{L^2}^2 \|\nabla u\|_{L^2}^2.$$

Then $C_{\text{GN}} = 2/\|Q\|_{L^2}^2$, where $\|Q\|_{L^2}$ is the mass of the ground state and if $\|u_0\|_{L^2} < \|Q\|_{L^2}$, then the solution starting from u_0 cannot blow up in finite time $(\|Q\|_{L^2(\mathbb{R}^2)}^2 = 2\pi \times 1.86225..., \text{see } [17])$. This is easy to check observing that the NLS equation has two invariants that are respectively the mass $\|u(t)\|_{L^2}$ and the energy

$$E(t) = \|\nabla u\|_{L^2}^2 - \frac{1}{2} \|u\|_{L^4}^4.$$
(1)

A point defect has been introduced and studied for NLS equations in dimension 2 in [7, 8, 11, 14]. In this article we are concerned with the blow-up of radial solutions to a cubic nonlinear Schrödinger equation with

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a radial defect, located on the sphere of radius r_0 . The equation reads

$$i\frac{\partial v}{\partial t} - \frac{\partial^2 v}{\partial r^2} - \frac{1}{r}\frac{\partial v}{\partial r} - Zv\delta_{r_0} - |v|^2 v = 0, \qquad r > 0, \ t \ge 0,$$

$$v(0, r) = v_0(r), \quad r \ge 0,$$

$$\frac{\partial v}{\partial r}(t, 0) = 0, \qquad t \ge 0.$$
(2)

Here the unknown v depends only on the distance r to the origin, and the defect is modeled by the term $Zv\delta_{r_0}$. The real number Z is the amplitude of the defect, and δ_{r_0} is the usual delta measure at $r = r_0$. Moreover, the scaling $v(t, r) = r_0 w(r_0^2 t, r_0 r)$ (changing accordingly the value of |Z|) allows us to focus on the case $r_0 = 1$.

The rest of the article is organized as follows. In a second section we introduce the mathematical framework associated with equation (2) and we investigate the initial value problem, discussing the role of the Gagliardo–Nirenberg inequality in our case. In a third section we revisit the virial method. In a fourth section, we investigate numerically how a defect can affect the behavior of explosive solutions.

We now introduce some notations. We denote by L^2_{rad} (or simply L^2) the set of functions $v : [0, +\infty[\rightarrow \mathbb{C}$ measurable such that

$$\|v\|_{L^2}^2 = \int_0^{+\infty} r|v(r)|^2 dr < +\infty.$$

We denote by H_{rad}^1 (or simply H^1) the set of radial functions such that

$$\|v\|_{H^1}^2 = \int_0^{+\infty} r(|v|^2 + |v_r|^2) \, dr < +\infty.$$

We also define here the invariants respectively for the mass as

$$M(t) = \int_{0}^{+\infty} r|v(r,t)|^2 dr$$

and respectively for the energy as

$$E(t) = \left\| \frac{\partial v}{\partial r}(t) \right\|_{L^2_{\text{rad}}}^2 - Z|v(t, 1)|^2 - \frac{1}{2} \|v(t)\|_{L^4_{\text{rad}}}^4$$

We have divided by 2π the quantities defined above (see (1)) for the sake of convenience. Let us observe that there is an extra term while $Z \neq 0$. Moreover, if a function v is in H^1_{rad} , then v is continuous in $(0, +\infty)$ and $v(1) = \langle v, \delta_1 \rangle$ makes sense. This is valid due to the following lemma

Lemma 1.1. Any v in $H^1_{rad}(\mathbb{R}^2)$ is a continuous function for r > 0 that satisfies

$$\sqrt{r}|v(r)| \le \|v\|_{H^1_{rad}}.$$
 (3)

Proof. Consider first *v* a smooth compactly supported radial function. Equality (3) holds true integrating $\partial_r |v|^2 = 2 \operatorname{Re}(\overline{v}v_r)$ between *r* and $+\infty$ and using the Cauchy–Schwarz inequality. We then conclude by a density argument: if $v_k \in C_{\operatorname{rad},0}^{\infty}$ converges towards *v* in H^1 , then the sequence $\sqrt{r}v_k(r)$ converges uniformly towards $\sqrt{r}v(r)$.

2 The initial value problem

In this section, we address the issue of the existence of solutions to (2) in $C([0, T), H^1_{rad}) \cap C^1([0, T); H^{-1}_{rad})$.

2.1 The mathematical framework

We now introduce a mathematical setting that allow us to address the defect as a transmission problem.

Let a_1 be the bilinear form in H^1_{rad} defined as

$$a_1(v, w) = \operatorname{Re}\left(\int_{0}^{+\infty} \frac{\partial v}{\partial r}(t) \frac{\overline{\partial w}}{\partial r} r \, dr\right) - Z \operatorname{Re}(v(t, 1)\overline{w}(1)) \quad \text{for all } v, w \in H^1_{\operatorname{rad}}.$$

Then we state and prove the following lemma.

Lemma 2.1. The bilinear form $a_1(\cdot, \cdot)$ is continuous and symmetric in H^1_{rad} .

Proof. For all $v, w \in H^1_{rad}$,

$$|a_1(v,w)| \leq \left\|\frac{\partial v}{\partial r}\right\|_{L^2_{\text{rad}}} \left\|\frac{\partial w}{\partial r}\right\|_{L^2_{\text{rad}}} + |Z||w(t,1)||v(t,1)|.$$

We recall that for any fixed *r* we have

$$|v(r)|^2 \leq ||v||_{H^1_{\text{rad}}}^2.$$

We then have

$$|a_{1}(v, w)| \leq \left\|\frac{\partial v}{\partial r}\right\|_{L^{2}_{rad}} \left\|\frac{\partial w}{\partial r}\right\|_{L^{2}_{rad}} + |Z| \|v\|_{H^{1}_{rad}} \|w\|_{H^{1}_{rad}} \leq (1 + |Z|) \|v\|_{H^{1}_{rad}} \|w\|_{H^{1}_{rad}}$$

This completes the proof of the lemma.

Proposition 2.2. There exists A_1 a unbounded self-adjoint operator in H^1_{rad} such that

$$a_1(v, w) = \langle A_1 v, w \rangle_{H^{-1}_{\text{rad}}, H^1_{\text{rad}}}.$$

Proof. Due to the proof of Lemma 2.1 for $\lambda > 0$ large enough the bilinear for $b_1(v, w) = a_1(v, w) + \lambda(v, w)_{L^2_{rad}}$ is coercive, continuous and symmetric. The Lax–Milgram theorem applies and for any $f \in H^{-1}_{rad}$ there exists a unique $v \in H^1_{rad}$ such that $b_1(v, w) = \langle f, w \rangle$ for all $w \in H^1$. We define *B* as the maximal monotone operator such that $b_1(v, w) = \langle Bv, w \rangle$ and define A_1 as $A_1 = B - \lambda$ Id. Then we also have

$$a_1(v, w) = \langle A_1 v, w \rangle_{H^{-1}_{\text{red}}, H^1_{\text{red}}}.$$

This completes the proof of the proposition.

We now characterize the domain of A_1 . We state:

Proposition 2.3. The domain of A_1 is

$$D(A_1) = \left\{ v \in H^1_{\text{rad}} : \frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial v}{\partial r} \right) \in L^2_{\text{rad}}(0, 1) \cap L^2(1, +\infty) \text{ and } \frac{\partial v}{\partial r}(t, 1^+) - \frac{\partial v}{\partial r}(t, 1^-) = -Zv(t, 1) \right\}.$$

Proof. Consider a test radial function $w \in C_0^\infty$. We seek v in H^1 such that for any such w,

$$\left|\operatorname{Re}\left(\int_{0}^{+\infty} r \frac{\partial v}{\partial r}(t) \overline{\frac{\partial w}{\partial r}} \, dr\right) - Z \operatorname{Re}(v(t, 1)\overline{w}(1))\right| \leq c \|w\|_{L^{2}_{\mathrm{rad}}}.$$

Consider first *w* that vanishes at a neighborhood of r = 1. We then have

$$\frac{1}{r}\frac{\partial}{\partial r}\left(r\frac{\partial v}{\partial r}\right)\in L^2_{\mathrm{rad}}(0,1)\cap L^2(1,+\infty).$$

Therefore the derivative of *v* has traces at r = 1, r < 1 and r = 1, r > 1 (see [2]). We consider now a general $w \in H^1_{rad}$. Integrating by parts, we have

$$\int_{0}^{1} \frac{\partial v}{\partial r}(t) \overline{\frac{\partial w}{\partial r}} r \, dr = -\int_{0}^{1} \frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial v}{\partial r} \right) \overline{w} r \, dr + \frac{\partial v}{\partial r}(t, 1^{-}) w(t, 1),$$

and

$$\int_{1}^{+\infty} \frac{\partial v}{\partial r}(t) \frac{\overline{\partial w}}{\partial r} r \, dr = -\int_{1}^{+\infty} \frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial v}{\partial r} \right) \overline{w} r \, dr - \frac{\partial v}{\partial r}(t, 1^{+}) w(t, 1).$$

Introducing

$$[v_r]_1 = \frac{\partial v}{\partial r}(t, 1^+) - \frac{\partial v}{\partial r}(t, 1^-),$$

we thus obtain

$$\left| -\operatorname{Re}\left(\int_{0}^{+\infty} \frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial v}{\partial r}\right) \overline{w} r \, dr - \overline{w}(t, 1)(Zv(1) + [v_r]_1) \right) \right| \le C \|w\|_{L^2_{\mathrm{rad}}}$$

Since this is valid for any *w*, we infer the transmission condition

$$Zv(1) + [v_r]_1 = 0. (4)$$

The proof of the proposition is complete.

We now have enough material to handle the Initial Value Problem.

Proposition 2.4. For any v_0 in H^1_{rad} there exist a T > 0 and a unique solution of nonlinear Schrödinger equation (2) in $C([0, T); H^1_{rad}) \cap C^1([0, T); H^{-1})$. If moreover v_0 belongs to $D(A_1)$, then the solution remains in $D(A_1)$ for t < T.

Proof. For the uniqueness of solutions, we rely on a famous argument due to Vladimirov. To begin with, we recall the Trudinger inequality (written here for radial functions) [2]. For M > 0, there exist μ , K > 0 such that if $\|v\|_{H^{1}_{red}(\mathbb{R}^{2})} < M$, then

$$\int_{0}^{+\infty} (\exp(\mu |v(r)|^2) - 1)^2 r \, dr \leq K^2.$$

Let v(t) and $\tilde{v}(t)$ be two solutions of (2) starting from v(0). Introduce $M = 8 \sup_{[0, T_0]} (\|v\|_{H^1} + \|\tilde{v}\|_{H^1})$ for $T_0 < T$. Setting $w(t) = v(t) - \tilde{v}(t)$, we see that w(t) satisfies

$$i\frac{\partial w}{\partial t} - \frac{\partial^2 w}{\partial r^2} - \frac{1}{r}\frac{\partial w}{\partial r} - Zw\delta_{r_0} - (|v|^2v - |\tilde{v}|^2\tilde{v}) = 0, \quad r > 0, \ t \ge 0,$$

$$w(0, r) = 0, \quad r \ge 0.$$
(5)

Considering the scalar product of (5) with iw(t), we then have

$$\frac{1}{2}\frac{d}{dt}\|w(t)\|_{L^2_{\mathrm{rad}}}^2 = \mathrm{Im}\int_0^{+\infty} r(|v|^2v - |\widetilde{v}|^2\widetilde{v})\overline{w(t)}\,dr \le \int_0^{+\infty} r|\widetilde{v}|(|\widetilde{v}| + |v|)|w|^2\,dr,$$

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$$\frac{d}{dt}\|w(t)\|_{L^{2}_{\mathrm{rad}}}^{2} \leq 4 \int_{0}^{+\infty} r(|\tilde{v}|^{2} + |v|^{2})|w|^{2} dr.$$

Let us introduce the function $h^2(x) = |\tilde{v}(x)|^2 + |v(x)|^2$. Thanks to the Hölder inequality for p > 2, we then have

$$\frac{d}{dt}\|w(t)\|_{L^{2}_{\mathrm{rad}}}^{2} \leq 4 \int_{0}^{+\infty} |h|^{2}|w|^{\frac{4}{p}}|w|^{\frac{2p-4}{p}} r \, dr \leq 4 \|w\|_{L^{2}_{\mathrm{rad}}}^{\frac{2p-4}{2}} \left(\int_{0}^{+\infty} (|h|^{p}|w|^{\frac{4}{p}}|w|^{2}r \, dr\right)^{\frac{2}{p}} \leq 4 \|w\|_{L^{2}_{\mathrm{rad}}}^{\frac{2p-4}{p}} \|w\|_{L^{4}_{\mathrm{rad}}}^{\frac{4}{p}} \|h\|_{L^{2p}_{\mathrm{rad}}}^{2}.$$

On one hand, using the elementary inequality $a^{2p} \leq (\frac{p}{\mu})^p (\exp(\mu a^2) - 1)$, we have

$$\|h\|_{L^{2p}_{\mathrm{rad}}}^2 \leq \frac{p}{\mu}(K)^{\frac{2}{p}},$$

since the H^1 -norm of *h* is bounded by *M*. On the other hand, due to the embedding $H^1_{rad} \subset L^4_{rad}$, we have

$$\|v\|_{L^4_{\text{rad}}} \le c \|v\|_{H^1_{\text{rad}}}$$

So, gathering the previous inequalities, we obtain

$$\frac{d}{dt} \|w(t)\|_{L^{2}_{\text{rad}}}^{2} \leq CK^{\frac{2}{p}} \|w\|_{L^{2}_{\text{rad}}}^{\frac{2p-4}{p}}.$$
(6)

By integrating (6) between 0 and T, we have

$$\|w(t)\|_{L^2_{\mathrm{rad}}}^2 \le (\alpha T)^{\frac{p}{2}}.$$

For *T* small enough such that $\alpha T < 1$, $T < T_0$ and $p \rightarrow \infty$ we have

$$\|w(t)\|_{L^2_{rad}}^2 = 0$$

in [0, *T*], and hence the uniqueness of solutions, since we can iterate this argument on [T, 2T], and then in [kT, (k + 1)T] for any *k*.

For the existence result, the difficulty is that we cannot use Strichartz estimates due to the defect. We use instead the regularization method described in [3, Section 3.3]. Setting $F(v) = |v|^2 v$, let us recall that the equation reads in its abstract form

$$iv_t = Av + F(v).$$

First step: Shifting. The operator *A* is not positive. We overcome this difficulty considering

$$w(t) = \exp(-i\lambda t)v(t)$$

that is solution to

$$iw_t = (A + \lambda \operatorname{Id})w + F(w). \tag{7}$$

We know that, for λ large enough, $B = A + \lambda$ Id is a positive symmetric unbounded operator such that

$$D(B^{\frac{1}{2}}) = H^{1}_{rad}.$$

Second step: Regularizing the nonlinearity. We introduce for $\varepsilon > 0$ the operator $J_{\varepsilon} = (\text{Id} + \varepsilon B)^{-1}$. We set $F_{\varepsilon}(v) = J_{\varepsilon}F(J_{\varepsilon}v)$. Then we have that F_{ε} is a locally Lipschitz map from H^1_{rad} into H^1_{rad} uniformly with respect to ε . Actually, if v and w belong to some bounded set of H^1_{rad} ,

$$\begin{split} \|F_{\varepsilon}(v) - F_{\varepsilon}(w)\|_{H^{1}} &\leq \|F(J_{\varepsilon}v) - F(J_{\varepsilon}w)\|_{H^{-1}} \leq c \|F(J_{\varepsilon}v) - F(J_{\varepsilon}w)\|_{L^{\frac{4}{3}}} \\ &\leq K \|J_{\varepsilon}(v-w)\|_{L^{4}} \leq K \|J_{\varepsilon}(v-w)\|_{H^{1}} \leq K \|v-w\|_{H^{1}}. \end{split}$$

Third step: Construction of an approximate solution. We now perform a fixed point in $C([0, T]; H^1_{rad})$ for the Duhamel's form of the equation that reads

$$w^{\varepsilon}(t) = e^{-itB}v_0 + \int_0^t e^{-i(t-s)B} F_{\varepsilon}(w^{\varepsilon}(s)) \, ds.$$
(8)

This is standard and omitted for the sake of conciseness. It is worth to point out that since the nonlinearity is uniformly locally Lipschitz in $H_{\text{rad}}^1 = D(B^{\frac{1}{2}})$, the time *T* does not depend on ε . Moreover, the solution w^{ε} belongs to $C([0, T]; H_{\text{rad}}^1) \cap C^1([0, T]; H_{\text{rad}}^{-1})$ and satisfies, going back to $v^{\varepsilon} = \exp(i\lambda t)w^{\varepsilon}$,

$$iv_t^{\varepsilon} = Av^{\varepsilon} + F_{\varepsilon}(v^{\varepsilon}) = 0.$$
(9)

Fourth step: A priori estimates. We already know that the sequence v^{ε} is uniformly bounded in the space $C([0, T]; H_{rad}^1) \cap C^1([0, T]; H_{rad}^{-1})$. Since the embedding $H_{rad}^1 \in L_{rad}^4$ is compact (see [12]), we can extract a subsequence still denoted by v^{ε} that converges to v in $L^{\infty}([0, T]; H_{rad}^1)$ weak-star and strongly in $L^4(0, T; L_{rad}^4)$ and such that v_t^{ε} converges to v_t in $L^{\infty}([0, T]; H_{rad}^{-1})$ weak-star. We also have that some invariants are conserved. Since $\operatorname{Im}(F_{\varepsilon}(v), v) = 0$, the mass $\|v^{\varepsilon}(t)\|_{L_{rad}^2} = \|v_0\|_{L^2}$ is constant. We also have that the modified energy $E_{\varepsilon}(v) = (Av, v) - \frac{1}{2} \|J_{\varepsilon}v\|_{L_{rad}^4}^4$ is conserved along the trajectories.

Fifth step: Passing to the limit. Observing that for any given v in H_{rad}^1 ,

$$\|F_{\varepsilon}(v) - F(v)\|_{H^{-1}} \leq c(\|F(v^{\varepsilon}) - F(v)\|_{L^{\frac{4}{2}}} + \|(J_{\varepsilon} - \mathrm{Id})F(v)\|_{H^{-1}} \leq K(\|J_{\varepsilon}v - v\|_{H^{1}} + \|(J_{\varepsilon} - \mathrm{Id})F(v)\|_{H^{-1}},$$

it is standard to pass to the limit either in (9) and (8) to have a solution v in $L^{\infty}([0, T]; H^1_{rad})$ (and then continuous in time due to (8)) of the equation. We can also pass to the limit in the invariant.

Sixth step: Miscellaneous results. Proceeding as in [3, Section 3.3], we can prove that the solution depends continuously on the initial data and the existence of a maximal time of existence T_{max} such that if $T < +\infty$, then the solution blows up.

We complete the proof of the theorem by proving that if the initial data belongs to $D(A_1)$, then the solution remains in $D(A_1)$. Assume v_0 in $D(A_1)$. Consider a solution w of the equation that remains bounded by M in H^1_{rad} for t in [0, T]. Due to (3), the L^{∞} -norm of w outside a ball of radius $\frac{1}{2}$ remains bounded by C_M . We use the so-called Brezis–Gallouet inequality to have

$$\|w\|_{L^{\infty}(B(0,\frac{1}{2}))} \leq C_{M}(1 + \log(1 + \|\Delta w\|_{L^{2}(B(0,\frac{3}{2}))}^{2}))^{\frac{1}{2}}.$$

Going back to the equation, this inequality implies (the constant C_M varying from one line to one another)

$$\|w\|_{L^{\infty}(B(0,\frac{1}{2}))} \le C_M(1 + \log(1 + \|w_t\|_{L^2}^2)^{\frac{1}{2}}.$$
(10)

We now differentiate equation (7) with respect to *t* to have a new equation for $Z = w_t$ that reads

$$iZ_t = BZ + 2\operatorname{Re}(\overline{w}Z)w + |w|^2 Z.$$
(11)

Considering the scalar product of (11) with *iZ* leads to

$$\frac{d}{dt}\|Z\|_{L^2}^2 \leq c\|w\|_{L^{\infty}}^2\|Z\|_{L^2}^2.$$

Using (10), we then have

$$\frac{d}{dt} \|Z\|_{L^2}^2 \le C_M \|Z\|_{L^2}^2 (1 + \log(1 + \|Z\|_{L^2}^2)).$$

We then infer from this that $||Z(t)||_{L^2} \le c(Z_0) \exp(\exp(C_M T))$. Going back to the equation, we have that Bw remains also bounded in L^2 for t in [0, T).

2.2 A sufficient condition for a solution to be global

At this stage we have a local solution that takes value in H^1 . As for the case Z = 0, the solution is global in time if we can prove an inequality that reads

$$E(t) \ge c \|v_r\|_{L^2}^2 - C.$$

We now define the generalized Gagliardo–Nirenberg constant as C_Z such that for all v in H_{rad}^1 ,

$$v\|_{I^4}^4 \le C_Z(\|v_r\|_{L^2}^2 - Z|v(1)|^2)\|v\|_{L^2}^2.$$
(12)

In the case Z < 0, if $C_Z > C_{GN}$, then we can improve the sufficient condition for a solution to be global. This is not the case. We state and prove:

Proposition 2.5. Assume Z < 0. Then we have $C_Z = C_{GN}$.

Proof. For *Z* < 0, we have $C_Z > C_{GN}$. Let us take $v(r) = w(\mu r)$ in (12) with *w* in H_{rad}^1 . Then we have, dividing the resulting equality by μ^2 ,

$$\|w\|_{L^4}^4 \leq C_Z(\|w_r\|_{L^2}^2 - Z|w(\mu)|^2)\|w\|_{L^2}^2$$

Due to (3), then $|w(\mu)|^2$ converges towards 0 and we are back to the usual Gagliardo–Nirenberg inequality. Then $C_{GN} \leq C_Z$.

Remark 2.6. It is worth to point out that for the proof of this proposition we have used that H^1 is invariant by dilations. The paradox is that $D(A_1)$ and the PDE under consideration are not invariant by dilations.

3 Revisiting the virial's method

We now introduce the very definition of the virial V and of the momentum q (see [6, 9, 15]) in the radial case as

$$V(t) = \operatorname{Im} \int_{0}^{+\infty} \left(r^2 \frac{\partial}{\partial r} \right) v(t, r) \overline{v}(t, r) \, dr, \quad q(t) = \int_{0}^{+\infty} r^3 |v(t, r)|^2 \, dr.$$

3.1 The momentum identity

We first state and prove that if the solution above belongs in some weighted space for t = 0, it remains in the same weighted space.

Proposition 3.1. Consider $v \in C([0, T[; H^1_{rad}) \text{ such that }$

$$\int_{0}^{+\infty} r^3 |v_0|^2 \, dr < \infty.$$

Then for all $t \in [0, T[,$

$$q(t)=\int\limits_{0}^{+\infty}r^{3}|v(t)|^{2}\,dr<\infty.$$

Proof. We first prove the identity assuming that the initial data is smooth, say in $D(A_1)$, and we then conclude by density. Let then $v \in C([0, T[; D(A_1)))$ be a solution of (2). We define

$$q_R = \int_0^{+\infty} r^3 \exp\left(-\frac{r}{R}\right) |v(t,r)|^2 dr$$

for R > 1. We first compute $\frac{\partial q_R}{\partial t}$, and then let $R \to +\infty$. We have

$$\frac{\partial q_R}{\partial t} = 2 \operatorname{Re} \int_{0}^{+\infty} r^3 \exp\left(-\frac{r}{R}\right) \frac{\partial v}{\partial t} \overline{v} \, dr$$

$$= 2 \operatorname{Re} \int_{0}^{+\infty} r^3 \exp\left(-\frac{r}{R}\right) \left(-\frac{i}{r} \frac{\partial}{\partial r} \left(r \frac{\partial v}{\partial r}\right) - i|v|^2 v\right) \overline{v} \, dr$$

$$= 2 \operatorname{Im} \int_{0}^{+\infty} r^2 \exp\left(-\frac{r}{R}\right) \frac{\partial}{\partial r} \left(r \frac{\partial v}{\partial r}\right) \overline{v} \, dr$$

$$= 2 \operatorname{Im} \int_{0}^{1} r^2 \exp\left(-\frac{r}{R}\right) \frac{\partial}{\partial r} \left(r \frac{\partial v}{\partial r}\right) \overline{v} \, dr + 2 \operatorname{Im} \int_{1}^{+\infty} r^2 \exp\left(-\frac{r}{R}\right) \frac{\partial}{\partial r} \left(r \frac{\partial v}{\partial r}\right) \overline{v} \, dr.$$

On one hand

$$2 \operatorname{Im} \int_{0}^{1} r^{2} \exp\left(-\frac{r}{R}\right) \overline{v} \frac{\partial}{\partial r} \left(r \frac{\partial v}{\partial r}\right) dr = -4 \operatorname{Im} \int_{0}^{1} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial v}{\partial r} \overline{v} dr + 2 \operatorname{Im} \int_{0}^{1} \frac{r^{3}}{R} \exp\left(-\frac{r}{R}\right) \frac{\partial v}{\partial r} \overline{v} dr + 2 \operatorname{Im} \left(\exp\left(-\frac{1}{R}\right) \frac{\partial v}{\partial r} (1^{-}) \overline{v} (1^{-})\right).$$

On the other hand

$$2 \operatorname{Im} \int_{1}^{+\infty} r^{2} \exp\left(-\frac{r}{R}\right) \overline{v} \frac{\partial}{\partial r} \left(r \frac{\partial v}{\partial r}\right) dr = -4 \operatorname{Im} \int_{1}^{+\infty} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial v}{\partial r} \overline{v} \, dr + 2 \operatorname{Im} \int_{1}^{+\infty} \frac{r^{3}}{R} \exp\left(-\frac{r}{R}\right) \frac{\partial v}{\partial r} \overline{v} \, dr - 2 \operatorname{Im} \left(\exp\left(-\frac{1}{R}\right) \frac{\partial v}{\partial r} (1^{+}) \overline{v}(1)\right).$$

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Using the transmission condition (4), we obtain

$$2\operatorname{Im}\left(\exp\left(-\frac{1}{R}\right)[\nu_r]_1\overline{\nu}(1)\right)=0.$$

We then infer

$$\frac{\partial q_R}{\partial t} = -4 \operatorname{Im} \int_{0}^{+\infty} r^2 \exp\left(-\frac{r}{R}\right) \frac{\partial v}{\partial r} \overline{v} \, dr + 2 \operatorname{Im} \int_{0}^{+\infty} \frac{r^3}{R} \exp\left(-\frac{r}{R}\right) \frac{\partial v}{\partial r} \overline{v} \, dr.$$
(13)

We now use the Cauchy-Schwarz inequality to obtain

$$\left|-4\operatorname{Im}\int_{0}^{+\infty}r^{2}\exp\left(-\frac{r}{R}\right)\frac{\partial v}{\partial r}\overline{v}\,dr\right| \leq 4\sqrt{q_{R}}\left(\int_{0}^{+\infty}r\exp\left(-\frac{r}{R}\right)\left|\frac{\partial v}{\partial r}\right|^{2}\,dr\right)^{\frac{1}{2}} \leq 4\sqrt{q_{R}}\left\|\frac{\partial v}{\partial r}\right\|_{L^{2}_{\mathrm{rad}}}.$$

Using once more the Cauchy-Schwarz inequality, we have

$$\left| 2 \operatorname{Im} \int_{0}^{+\infty} \frac{r^{3}}{R} \exp\left(-\frac{r}{R}\right) \frac{\partial v}{\partial r} \overline{v} \, dr \right| \leq \sqrt{q_{R}} \left(\int_{0}^{+\infty} \frac{r^{3}}{R^{2}} \exp\left(-\frac{r}{R}\right) \left|\frac{\partial v}{\partial r}\right|^{2} \, dr \right)^{\frac{1}{2}} \leq 2C \sqrt{q_{R}} \left\|\frac{\partial v}{\partial r}\right\|_{L^{2}_{\mathrm{rad}}}.$$

Therefore

$$\frac{\partial q_R}{\partial t} \le (4 + 2C)\sqrt{q_R} \left\| \frac{\partial v}{\partial r} \right\|_{L^2_{\text{rad}}}$$

We then have that for all t < T,

$$\sqrt{q_R(t)} \le \sqrt{q_R(0)} + (4 + 2C) \int_0^t \left\| \frac{\partial v}{\partial r} \right\|_{L^2_{\text{rad}}} dt$$

Letting $R \to +\infty$ provides that for all $v \in D(A_1)$, $q(t) < \infty$ since $q(0) < \infty$. We conclude by the density of $D(A_1)$ in H^1 .

Corollary 3.2. Setting $q = \lim_{R \to +\infty} q_R$, we have

$$\frac{\partial q}{\partial t} = -4 \operatorname{Im} \int_{0}^{+\infty} r^2 \frac{\partial v}{\partial r} \overline{v} \, dr.$$

Proof. We go back to (13):

$$\frac{\partial q_R}{\partial t} = -4 \operatorname{Im} \int_{0}^{+\infty} \left(1 - \frac{r}{2R}\right) \exp\left(-\frac{r}{R}\right) r^2 \overline{v} \frac{\partial v}{\partial r} \, dr.$$

The function $r \to r^2 \overline{v} \frac{\partial v}{\partial r} dr$ is integrable since $\frac{\partial v}{\partial r}$, $rv \in L^2_{rad}$. We conclude by the Lebesgue dominated convergence theorem.

3.2 The virial identity

To begin with, we recall that the energy $E(t) = E_0$ does not depend on *t*.

Proposition 3.3. For any initial data v_0 in H^1 such that $q(0) < +\infty$ we have

$$\frac{\partial V}{\partial t}(t) = -2E_0 - \left(\left| \frac{\partial v}{\partial r}(1^+) \right|^2 - \left| \frac{\partial v}{\partial r}(1^-) \right|^2 \right).$$

Proof. We proceed as above, performing the computations for v_0 in $D(A_1)$ and then passing to the limit due to a density argument. We introduce

$$V_R = \operatorname{Im} \int_0^{+\infty} r^2 \exp\left(-\frac{r}{R}\right) \frac{\partial v}{\partial r}(t, r) \overline{v}(t, r) \, dr.$$

We first compute $\frac{\partial V_R}{\partial t}(t)$, and then let $R \to +\infty$. To begin with, we have

$$\frac{\partial V_R}{\partial t}(t) = -2 \operatorname{Im} \int_{0}^{+\infty} r^2 \exp\left(-\frac{r}{R}\right) \frac{\partial \overline{v}}{\partial r} \frac{\partial v}{\partial t} dr + \operatorname{Im} \int_{0}^{+\infty} r^2 \exp\left(-\frac{r}{R}\right) \frac{\partial}{\partial r} \left(\frac{\partial v}{\partial t}\overline{v}\right) dr$$
$$= -2 \operatorname{Im} \int_{0}^{+\infty} r^2 \exp\left(-\frac{r}{R}\right) \frac{\partial \overline{v}}{\partial r} \frac{\partial v}{\partial t} dr - 2 \operatorname{Im} \int_{0}^{+\infty} r \exp\left(-\frac{r}{R}\right) \left(1 - \frac{r}{2R}\right) \frac{\partial v}{\partial t} \overline{v} dr.$$
(14)

We now estimate the first term in the right-hand side of (14),

$$-2 \operatorname{Im} \int_{0}^{+\infty} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial \overline{\nu}}{\partial r} \frac{\partial \nu}{\partial t} dr = 2 \operatorname{Re} \int_{0}^{+\infty} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial \overline{\nu}}{\partial r} \left(\frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial \nu}{\partial r}\right)\right) dr + 2 \operatorname{Re} \int_{0}^{+\infty} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial \overline{\nu}}{\partial r} \nu |\nu|^{2} dr.$$
(15)

We then have

$$2\operatorname{Re}\int_{0}^{+\infty} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial \overline{v}}{\partial r} \left(\frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial v}{\partial r}\right)\right) dr = \operatorname{Re}\int_{0}^{+\infty} \exp\left(-\frac{r}{R}\right) \frac{\partial}{\partial r} \left|r \frac{\partial v}{\partial r}\right|^{2} dr.$$

Integrating by parts, we infer

$$\operatorname{Re}\int_{0}^{+\infty} \exp\left(-\frac{r}{R}\right) \frac{\partial}{\partial r} \left| r \frac{\partial v}{\partial r} \right|^{2} dr = \operatorname{Re}\int_{0}^{1} \exp\left(-\frac{r}{R}\right) \frac{\partial}{\partial r} \left| r \frac{\partial v}{\partial r} \right|^{2} dr + \operatorname{Re}\int_{1}^{+\infty} \exp\left(-\frac{r}{R}\right) \frac{\partial}{\partial r} \left| r \frac{\partial v}{\partial r} \right|^{2} dr$$
$$= \exp\left(-\frac{1}{R}\right) \left(\left| \frac{\partial v}{\partial r} (1^{-}) \right|^{2} - \left| \frac{\partial v}{\partial r} (1^{+}) \right|^{2} \right) + \operatorname{Re}\int_{0}^{+\infty} \frac{\exp(-\frac{r}{R})}{R} \left| r \frac{\partial v}{\partial r} \right|^{2} dr$$

On one hand, by the Lebesgue dominated convergence theorem, since $\frac{1}{r} |\frac{\partial v}{\partial r}|^2$ belongs to L^1 ,

$$\lim_{R \to +\infty} \operatorname{Re} \int_{0}^{+\infty} \frac{\exp(-\frac{r}{R})}{R} \left| r \frac{\partial v}{\partial r} \right|^{2} dr = 0.$$

On the other hand

$$\lim_{R \to +\infty} \exp\left(-\frac{1}{R}\right) \left(\left|\frac{\partial v}{\partial r}(1^{-})\right|^{2} - \left|\frac{\partial v}{\partial r}(1^{+})\right|^{2}\right) = \left|\frac{\partial v}{\partial r}(1^{-})\right|^{2} - \left|\frac{\partial v}{\partial r}(1^{+})\right|^{2}.$$

Therefore

$$\lim_{R \to +\infty} 2 \operatorname{Re} \int_{0}^{+\infty} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial \overline{v}}{\partial r} \left(\frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial v}{\partial r}\right)\right) dr = \left(\left|\frac{\partial v}{\partial r}(1^{-})\right|^{2} - \left|\frac{\partial v}{\partial r}(1^{+})\right|^{2}\right).$$

We now compute the second term in (15) as follows:

$$2 \operatorname{Re} \int_{0}^{+\infty} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial \overline{v}}{\partial r} v |v|^{2} dr = \frac{1}{2} \operatorname{Re} \int_{0}^{+\infty} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial}{\partial r} |v|^{4} dr$$
$$= \frac{1}{2} \operatorname{Re} \int_{0}^{1} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial}{\partial r} |v|^{4} dr + \frac{1}{2} \operatorname{Re} \int_{1}^{+\infty} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial}{\partial r} |v|^{4} dr.$$

Since the function $r \rightarrow |v(r)|^4$ is continuous at 1, integrating by parts we have

$$2\operatorname{Re}\int_{0}^{+\infty} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial \overline{v}}{\partial r} v |v|^{2} dr = -\operatorname{Re}\int_{0}^{+\infty} r \exp\left(-\frac{r}{R}\right) \left(1 - \frac{r}{2R}\right) |v|^{4} dr.$$
(16)

Then, using the Lebesgue dominated convergence theorem in (16), we have

$$\lim_{R \to +\infty} 2 \operatorname{Re} \int_{0}^{+\infty} r^{2} \exp\left(-\frac{r}{R}\right) \frac{\partial \overline{\nu}}{\partial r} \nu |\nu|^{2} dr = -\|\nu\|_{L_{\operatorname{rad}}^{4}}^{4}$$

We now pass to the limit in the second term in the right-hand side of (14). We first have

$$-2 \operatorname{Im} \int_{0}^{+\infty} r \exp\left(-\frac{r}{R}\right) \left(1 - \frac{r}{2R}\right) \frac{\partial v}{\partial t} \overline{v} \, dr = 2 \operatorname{Re} \int_{0}^{+\infty} r \exp\left(-\frac{r}{R}\right) \left(1 - \frac{r}{2R}\right) |v|^4 \, dr + 2 \operatorname{Re} \int_{0}^{+\infty} \exp\left(-\frac{r}{R}\right) \left(1 - \frac{r}{2R}\right) \left(\frac{\partial}{\partial r} \left(r\frac{\partial v}{\partial r}\right)\right) \overline{v} \, dr.$$

On one hand, using the Lebesgue dominated convergence theorem, we have

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$$\lim_{R \to +\infty} 2 \operatorname{Re} \int_{0}^{+\infty} r \exp\left(-\frac{r}{R}\right) \left(1 - \frac{r}{2R}\right) |v|^{4} dr = 2 \|v\|_{L^{4}_{\operatorname{rad}}}^{4}.$$

On the other hand, the second term reads also

$$2 \operatorname{Re} \int_{0}^{+\infty} \exp\left(-\frac{r}{R}\right) \left(1 - \frac{r}{2R}\right) \left(\frac{\partial}{\partial r} \left(r\frac{\partial v}{\partial r}\right)\right) \overline{v} \, dr = 2 \operatorname{Re} \int_{0}^{1} \exp\left(-\frac{r}{R}\right) \left(1 - \frac{r}{2R}\right) \left(\frac{\partial}{\partial r} \left(r\frac{\partial v}{\partial r}\right)\right) \overline{v} \, dr + 2 \operatorname{Re} \int_{1}^{+\infty} \exp\left(-\frac{r}{R}\right) \left(1 - \frac{r}{2R}\right) \left(\frac{\partial}{\partial r} \left(r\frac{\partial v}{\partial r}\right)\right) \overline{v} \, dr.$$

Integrating by parts, we have

$$2 \operatorname{Re} \int_{0}^{+\infty} \exp\left(-\frac{r}{R}\right) \left(1 - \frac{r}{2R}\right) \left(\frac{\partial}{\partial r} \left(r\frac{\partial v}{\partial r}\right)\right) dr = -2 \exp\left(-\frac{1}{R}\right) \left(1 - \frac{1}{2R}\right) \operatorname{Re}\left(\overline{v}(1) \left[\frac{\partial v}{\partial r}\right]_{1}\right)$$
$$- 2 \operatorname{Re} \int_{0}^{+\infty} r \exp\left(-\frac{r}{R}\right) \left(1 - \frac{r}{2R}\right) \left|\frac{\partial v}{\partial r}\right|^{2} dr$$
$$+ \operatorname{Re} \int_{0}^{+\infty} r \exp\left(-\frac{r}{R}\right) \left(\frac{3}{2R} - \frac{r}{2R^{2}}\right) \overline{v} \frac{\partial v}{\partial r} dr.$$

Using once again the Lebesgue dominated convergence theorem, we obtain

$$\lim_{R \to +\infty} 2 \operatorname{Re} \int_{0}^{+\infty} \exp\left(-\frac{r}{R}\right) \left(1 - \frac{r}{2R}\right) \left(\frac{\partial}{\partial r} \left(r\frac{\partial v}{\partial r}\right)\right) dr = 2Z|v(1)|^2 - 2\left\|\frac{\partial v}{\partial r}\right\|_{L^2_{\operatorname{rad}}}^2.$$

Gathering these computations we conclude

$$\lim_{R \to +\infty} \frac{\partial V_R}{\partial t}(t) = \left(\left| \frac{\partial v}{\partial r}(1^-) \right|^2 - \left| \frac{\partial v}{\partial r}(1^+) \right|^2 \right) + \|v\|_{L^4_{\text{rad}}}^4 + 2Z|v(1)|^2 - 2\left\| \frac{\partial v}{\partial r} \right\|_{L^2_{\text{rad}}}^2 = -2E_0 - \left[\left| \frac{\partial v}{\partial r} \right|^2 \right]_1.$$

This completes the proof of the proposition.

3.3 Conclusion

In the previous subsection we have proved that

$$\frac{\partial V}{\partial t}(t) = -2E_0 - \left[\left|\frac{\partial v}{\partial r}\right|^2\right]_1.$$

If Z = 0 and $E_0 < 0$, then the solution blows up in finite time. We assume below that we have a solution with negative energy. Here we are interested in the case $Z \neq 0$. For general solutions, we do not know the sign of $[|\frac{\partial v}{\partial t}|^2]_1$. In the next section we will investigate this issue using numerics. We shall observe that for

solutions moving from the right to the left (going to the zero) the sign of $[|\frac{\partial v}{\partial r}|^2]_1$ is positive and then balance the negative energy.

4 Numerics

We solve our problem using second-order finite differences in *r* and the second-order implicit Crank–Nicolson scheme in time. We start this section by describing briefly the numerical method, next we discuss the numerical results. We refer to [10] for details.

4.1 The numerical method

We discuss here first the discretization of the delta function. Consider a solution to (2). For the discretization of the transmission condition, we write respectively to the right of r_0

$$\frac{\partial v}{\partial r}(t, r_0^+) = \frac{4v(t, r_0 + \Delta r) - v(t, r_0 + 2\Delta r) - 3v(t, r_0)}{2\Delta r}$$

and respectively to the left of r_0

$$\frac{\partial v}{\partial r}(t,r_0) = \frac{v(t,r_0-2\Delta r) - 4v(t,r_0-\Delta r) + 3v(t,r_0)}{2\Delta r}$$

Indeed, this approximation is a second order approximation in space. We have

$$4\nu(t, r_0 + \Delta r) - \nu(t, r_0 + 2\Delta r) - 2(3 - 2Z\Delta r)\nu(t, r_0) - \nu(t, r_0 - 2\Delta r) + 4\nu(t, r_0 - \Delta r) = 0.$$

Usual second-order scheme with finite differences is used inside the computational domain, except at the defect, and Crank–Nicolson scheme in time is performed. This reads for $r \neq r_0$:

$$i\frac{v_{j}^{n+1}-v_{j}^{n}}{\Delta t}-\frac{v_{j+1}^{n+\frac{1}{2}}-2v_{j}^{n+\frac{1}{2}}+v_{j-1}^{n+\frac{1}{2}}}{\Delta r^{2}}-\frac{1}{r_{j}}\frac{v_{j+\frac{1}{2}}^{n+1}-v_{j-1}^{n+\frac{1}{2}}}{2\Delta r}-\frac{1}{4}(|v_{j}^{n+1}|^{2}+|v_{j}^{n}|^{2})(v_{j}^{n+1}+v_{j}^{n})=0,$$

where $v_j^{n+\frac{1}{2}} = \frac{v_j^{n+1} + v_j^n}{2}$. Our nonlinear problem is solved using a fixed point method at each time step.

For the boundary conditions, we use a PML method far away to the right of the defect to avoid spurious reflections (see [10] and the references therein). At the left boundary r = 0, we solve

$$i\frac{v_0^{n+1}-v_0^n}{\Delta t}-\frac{2v_1^{n+1}-2v_0^{n+1}}{\Delta r^2}=\frac{2v_1^n-2v_0^n}{\Delta r^2}+\frac{1}{4}(|v_0^{n+1}|^2+|v_0^n|^2)(v_0^{n+1}+v_0^n).$$

4.2 The numerical results

In this subsection, we investigate the influence of the defect on the dynamics of traveling Gaussian solution that blows up in the case without defect Z = 0. We consider the following initial data (see Figure 1):

$$v_i(r) = 3 \exp(i10r) \exp(-(r-15)^2),$$

defined on the numerical domain $\Omega = (0, 20)$, that contains a PML band of width L = 2. The parameters of the band PML are chosen to absorb the reflected waves at the boundary of the computational domain (see [10, 18]). In our simulation the parameters are $\Delta r = 5 \times 10^{-3}$ and $\Delta t = 2.5 \times 10^{-5}$ for a computation performed with final time T = 1. Here we perform some numerical simulations for Z=0, i.e. without defect.

The blow-up structure shows in Figure 2 by the mass concentration of the solution around r = 1. To confirm this, we compute in Figure 3 the variation of the $L^2_{rad}(\mathbb{R}^2)$ -norm of the gradient of the solution over time. We note that the solution blows up at $T^* = 0.6512$ and we observe that the norm $\|v_r\|^2_{L^2_{rad}}$ tends to ∞ when $t \to T^*$.







Figure 2. Formation of the singularity at T = 0.6512.



Figure 3. Variation of $\|v_r\|_{L^2_{rad}}^2$ versus time for Z = 0.

Let M_n and E_n denote respectively the discrete mass and the energy at $t = t^n$. In Figures 4 and 5 we show the order of magnitude of the relative errors made for M_n and E_n versus time. We observe the conservation of mass and energy over time, and that a singularity appears for $t = T^*$.



Figure 5. Plot of $\frac{E^{n+1}-E^n}{E^n}$ versus time.

Now, we consider a defect at r = 10 and we set Z = 200. Is this defect prevent or alter blow-up? After a phase of interaction with the defect Figure 6, we see in Figure 7 that the solution splits into two parts: a transmitted wave v_t and reflected one v_r . In our test case, we numerically have

$$\|v_t\|_{L^2(\mathbb{R}^2)}^2 = 9.5828 < \|Q\|_{L^2(\mathbb{R}^2)}^2 = 11.7009$$

while the reflected part v_r comes out of the computational domain over time (it is absorbed by the PML band). We show in Figure 8 the variation of $(||v_r||_{L^2_{rad}})^2$ versus time. We observe that for Z = 200 the L^2_{rad} -norm of the gradient remains bounded along the flow. So, in this case test the defect prevents the blow-up. For this case, we numerically verify the sign of jump $[|\frac{\partial v}{\partial r}|^2]$ at $r_0 = 10$ (see the discussion in Section 3.3 above). We observe in Figure 9 that for Z = 200 the sign of the jump remains positive. We conclude that the defect splits the incident wave in one reflected part and one transmitted part. It can prevent blow-up if the mass of each part is smaller than the one of the ground state Q.



Figure 6. Solution profile then interacting with the defect for Z = 200 at t = 0.2645.



Figure 7. Solution profile after defect interaction for Z = 200 and t = 0.4320.







Figure 9. Evolution of $\left[\left|\frac{\partial v}{\partial r}\right|^2\right]_{r_0}$ versus time for Z = 200.

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